



Docket No: AM100305  
Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re of Application of: Anita W-Y. Chan  
Application No.: 10/016,229      Group Art No.: 1624  
Filed: November 2, 2001      Examiner: B. Coleman  
For: PROCESS FOR THE PREPARATION OF 1, 2, 3, 4, 8, 9, 10, 10a-  
OCTAHYDRO-7bH-CYCLOPENTA[b][1, 4]DIAZEPINO- [6, 7, 1-hi]  
INDOLE DERIVATIVES  
Confirmation No.: 9006  
Customer Number: 25291

MAIL STOP AMENDMENT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

TRANSMITTAL LETTER

1. Enclosed please find the following documents for the above-identified application:  
Amendment and Response to Office Action mailed on February 25, 2004.

**CERTIFICATE OF MAILING 37 CFR §1.10**

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EU733072826US addressed to Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

may 25, 2004  
Date

Paula L. Dickey  
Paula L. Dickey

2. Fee calculation

CLAIMS AS AMENDED					
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) HIGHEST NUMBER PAID FOR	(4) NUMBER EXTRA x RATE		(5) ADDITIONAL FEE
TOTAL CLAIMS	27	27	0	x \$ 18.00	0.00
INDEPENDENT CLAIMS	1	3	0	x \$ 86.00	0.00
MULTIPLE DEPENDENCY FEE				\$ 290.00	
				<b>Total Amendment Fee:</b>	<b>\$0.00</b>

☐ Please charge Deposit Account No. 01-1300 for: \$0.00

The Commissioner is hereby authorized to charge any additional fees required by this paper, including the enclosed documents, and during the entire pendency of this application and to credit any excess amounts paid to Deposit Account No. 01-1425. A copy of this letter is enclosed for use by the Deposit Account Branch.

Respectfully submitted,

*Kimberly R. Hild*

Kimberly R. Hild  
Attorney for Applicants  
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5-26-04

IFW 1624

PATENT  
Docket No. AM100305

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**  
Anita W-Y. Chan

**Serial No.** 10/016,229

**Examiner:** B. Coleman

**Filed:** November 2, 2001

**Art Unit:** 1624

**Confirmation No:** 9006

**Customer No.** 25291

**Title:** PROCESS FOR THE PREPARATION OF 1, 2, 3, 4, 8, 9, 10, 10a-  
OCTAHYDRO-7bH-CYCLOPENTA[b][1, 4]DIAZEPINO- [6, 7, 1-hi]INDOLE  
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May 25, 2004  
Date

Paula L. Dickey  
Paula L. Dickey

**AMENDMENT AND RESPONSE PURSUANT TO 37 C.F.R. §1.111**

MAIL STOP AMENDMENT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

This is a response to an Office Action mailed on February 25, 2004. Please amend the application as follows:

**Amendments to the Claims** are shown in the listing of claims found on pages 2 to 15 of this paper.

**Remarks** begin on page 16.